# About Neutrinos

### QuarkNet lecture Summer 2018 Horst D Wahl (hwahl@fsu.edu)

# Outline

- □ Standard model of particle physics
- Neutrinos are everywhere
- □ What do we know about neutrinos now?
- How did we get to this point?
- Outlook

Note: many slides stolen / adapted from many different websites, e.g.

Dirac Lectures at FSU 2016

**CERN Summer Student lectures** 

Neutrino 2018 conference in Heidelberg

### "Standard Model" of Particle Physics



Interac	tion	Carriers	act on	Fundamental Particles
Gravita	ation –	→ Graviton 🔫		
V	Veak –	₩ <sup>+</sup> , ₩ <sup>-</sup> , Z <sup>°</sup>	$\overline{}$	Leptons *
Electromag	netic –	-> Photon	$\sim$	Quarke
St	rong -	→ Gluon —		*Note: Neutral leptons (neutrinos) do not have electromagnetic interactions

- A theoretical model of interactions of elementary particles, based on quantum field theory
- Symmetry:
  - SU(3) x SU(2) x U(1)
- Matter particles: fermions
  - Quarks: up, down, charm, strange, top, bottom
  - Leptons: electron, muon, tau,
     + their neutrinos
- Force particles
  - Gauge Bosons
    - o  $\gamma$  (electromagnetic force)
    - o W<sup>±</sup>, Z (weak, electromagnetic)
    - o g gluons (strong force)
- Higgs boson
  - spontaneous symmetry breaking of SU(2)
  - mass

### Our current understanding of ordinary matter

ANTI MATTER: Every particle has an equivalent anti-particle. Positron Emission Tomography (PET):  $e^+ e^$ annihilation  $\gamma$ 

- Neutrinos feel only the weak force (and gravity), are produced in some forms of radioactivity or in nuclear or particle reactions in the stars or at accelerators
- Original std model assumed them massless



#### **Standard Model of Elementary Particles**

### Neutrinos – why are they interesting?

Neutrinos are everywhere:

- at the Big Bang
- from the Sun
- from Cosmic Rays from Supernovas
- from Nuclear Reactors
- from Particle Accelerators
- all around you
- For physicists:
  - Neutrinos provide clues for
    - o Understanding weak interaction
    - o understanding stars
    - o understanding supernovae
    - o Understanding the early universe
    - o Maybe clue to matter-antimatter asymmetry?

### Where do Neutrinos Appear in Nature?



### **Ubiquitous Neutrinos**

#### They are everywhere...





#### Sun: 5 x 10<sup>12</sup>/second

#### Atmosphere: ~20/second



Earth: ~109/second

### **Ubiquitous Neutrinos**





#### Big Bang: ~2 x 10<sup>12</sup>/second

Supernova 1987: ~10<sup>12</sup>/second

@168000 Light years!
10<sup>8</sup> times farther from Earth than the Sun



# **Ubiquitous Neutrinos**

#### PeV neutrinos from still unknown sources...





Icecube

# **ICE CUBE**



IceCube Neutrino Observatory beneath the Aurora australis (Southern Lights)





### Neutrino event in Ice Cube



Ice Cube: 1km<sup>3</sup> of ice with photomultipliers embedded in the ice https://icecube.wisc.edu/

# High energy neutrino from a Blazar?





\* blazar = giant elliptical galaxy with a massive, rapidly spinning black hole at its core.

\* twin jets of light and elementary particles emitted from the poles along the axis of the black hole's rotation.

 \* blazar TXS 0506+056 is situated in the night sky just off the left shoulder of the constellation Orion and is about 4 billion light years rom Earth.

#### https://icecube.wisc.edu/news/view/586

### "Multi-messenger astronomy"



#### http://science.sciencemag.org/content/361/6398/eaat1378

### Multimessenger observations of blazar TXS 0506+056.



Multimessenger observations of blazar TXS 0506+056.

The 50% and 90% containment regions for the neutrino IceCube-170922A (dashed red and solid gray contours, respectively), overlain on a V-band optical image of the sky. Gamma-ray sources in this region previously detected with the Fermi spacecraft are shown as blue circles, with sizes representing their 95% positional uncertainty and labeled with the source names. The IceCube neutrino is coincident with the blazar TXS 0506+056, whose optical position is shown by the pink square. The yellow circle shows the 95% positional uncertainty of very-high-energy y-rays detected by the MAGIC telescopes during the follow-up campaign. The inset shows a magnified view of the region around TXS 0506+056 on an Rband optical image of the sky.



Using many of these natural sources, as well as others man-made, decades of revolutionary neutrino experiments have demonstrated that neutrinos are not quite standard,

because they have a tiny mass & massive neutrinos require new dofs!

#### **MINOS**, Opera



#### SuperKamiokande





### What do we know about neutrinos now

- Neutrinos are "fundamental" constituents of matter (i.e. to the best of our knowledge, they have no substructure)
- $\Box$  Come in 3 "flavors" (electron  $v_e$ , mu  $v_{\mu}$ , tau  $v_{\tau}$ )
- $\Box$  Feel only weak interaction  $\Rightarrow$  difficult to detect
- ❑ Neutrino experiments during the last 50 years (accelerated during last 10 years) showed "neutrino oscillation" ⇒
  - Neutrinos have very small but non-zero mass (in original standard model, assumed mass=0)
  - They "oscillate", i.e. different flavors can turn into each other
  - Neutrinos of a given flavor do not have a well-defined mass – "flavor eigenstates" ≠ "mass eigenstates"

### Neutrino oscillations

When a neutrino of a given flavor is created in an interaction (at time 0 of its life), it has a well-defined flavor (determined by the production process), but is a superposition of mass eigenstates.

- Mass eigenstates "evolve" in time (oscillate) with a frequency ∞ to their mass
- If masses are different ⇒ relative sizes of amplitudes of mass eigenstates in the mix change ⇒ the neutrino is not a well-defined flavor state anymore, but a superposition of flavor states
- When you measure its flavor, i.e. force it to "fess up" by making it interact at some time later, it has a calculable probability of showing up as a different flavor from its initial one

# Neutrino Mixing

States produced in a CC interaction in combination with  $e, \mu, \tau$ 

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

Eigenstates of the free Hamiltonian

□ The "Flavor eigenstates" are not the same as the mass eigenstates;

- □ Flavor eigenstates are q.m. superposition of mass eigenstates
- □ U<sub>PMNS</sub> = "Pontecorvo-Maki-Nakagawa-Sakata" matrix
- U = "unitary" matrix, i.e. kind of rotation in 3-dimensional complex space

$$U = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

### Mass hierarchy



NO = "normal order", IO="inverted order"
 Present data indicate preference for NO

### Global fit to neutrino oscillations

parameter $\Delta m^2 [10^{-5} \text{eV}^2]$	best fit $\pm 1\sigma$ 7 55 <sup>+0.20</sup>	$\frac{3\sigma}{7.05-8.14}$	2 4%
$\begin{aligned} \Delta m_{21} & [10^{-3} \text{eV}^2] \text{ (NO)} \\  \Delta m_{31}^2  & [10^{-3} \text{eV}^2] \text{ (NO)} \\  \Delta m_{31}^2  & [10^{-3} \text{eV}^2] \text{ (IO)} \end{aligned}$	$2.50 \pm 0.03$ $2.42^{+0.03}_{-0.04}$	2.41-2.60 2.31-2.51	<b>1.3%</b>
$\sin^2 \frac{\theta_{12}}{10^{-1}}$	$3.20\substack{+0.20\\-0.16}$	2.73 - 3.79	5.5% Ye 1
$\frac{\sin^2 \theta_{23} / 10^{-1} \text{ (NO)}}{\sin^2 \theta_{23} / 10^{-1} \text{ (IO)}}$	$\begin{array}{c} 5.47\substack{+0.20\\-0.30}\\ 5.51\substack{+0.18\\-0.30}\end{array}$	4.45 – 5.99 4.53 – 5.98	4.7% uncert
$\sin^2 \frac{\theta_{13}}{10^{-2}}$ (NO) $\sin^2 \frac{\theta_{13}}{10^{-2}}$ (IO)	$2.160\substack{+0.083\\-0.069}\\2.220\substack{+0.074\\-0.076}$	$1.96 – 2.41 \\ 1.99 – 2.44$	ainty
$\frac{\delta}{\pi}$ (NO) $\frac{\delta}{\pi}$ (IO)	${\begin{array}{c} 1.32\substack{+0.21\\-0.15}\\ 1.56\substack{+0.13\\-0.15}\end{array}}$	0.87 - 1.94 1.12 - 1.94	<mark>10%</mark> 9%

#### deSalas et al, 1708.01186 (May 2018)

### Neutrino oscillation parameters 2018



FIG. 7: Summary of neutrino oscillation parameters, 2018. Blue (solid) lines correspond to NO and magenta (dashed) lines to IO. Notice that the  $\Delta \chi^2$ -profiles for inverted ordering are plotted with respect to the minimum for this neutrino mass ordering.

#### From P.F. de Salas et al., Arxiv hep 1708.01186

### SM+3 massive neutrinos: Global Fits

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = U_{PMNS}(\theta_{12}, \theta_{23}, \theta_{13}, \delta, ..) \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

$$\theta_{12} \sim 34^{\circ},$$
  
 $\theta_{23} \sim 42^{\circ} \text{ or } 48^{\circ}$   
 $\theta_{13} \sim 8.5^{\circ},$   
 $\delta \sim ?$ 



$$\Delta m_{12}^2 \sim 7.5 \times 10^{-5} eV^2$$

$$\Delta m_{13}^2 \sim 2.5 \times 10^{-3} eV^2$$

# Summary

□ Current status of three-neutrino oscillation parameters:

- very precise and robust determinations for most of them (1.3-10%)
- preference for normal mass ordering
- preference for  $\pi < \delta < 2\pi$  with CP conservation allowed at  $2\sigma$

Expectations of future measurements:

- oscillation parameters will be measured with precision 0.6-3%
- 2-3σ sensitivity to CP violation at NOvA and T2K-II
- 3σ sensitivity to mass ordering from reactor, accelerator and nutelescopes
- Expect big step forward in improvement from new experiments DUNE, Hyper-Kamiokande,...

### How did we get there?

beta decay puzzle (1915 – 1930) new neutral particle proposed (Pauli 1930) beta decay theory, "neutrino" (Fermi 1934) □ How to detect them? Hopeless? Pontecorvo: maybe not: reactors (1946) Reines and Cowan (1950-1951) v detection muon neutrino (1962) □ 3<sup>rd</sup> lepton (1975) □ limit on number of neutrinos (1987, 1997) Neutrino properties weak interaction Helicity and mass

### How did we get there? (2)

- Sources of neutrinos
- Neutrinos from the Sun
- □ Interlude on nuclear physics
- Neutrino puzzles
- Fusion processes in the Sun
- □ Standard solar model (Bahcall)
- Detection of solar neutrinos Ray Davis (1968 1995)
- Kamiokande
- Neutrino oscillations
- Experimental evidence: Super-K, SNO
- Open questions

# Neutrino: the dark particle 1900 Radioactivity: Becquerel, M & P Curie, Rutherford.... β decay



Before Pauli, Fermi

After

Energy-momentum conservation  $\Rightarrow$  expect

$$E_{electron} = (M_N - M_{N'})c^2 = Q = const$$

### Beta decay puzzle

□ Three-types of radioactivity:  $\alpha$ ,  $\beta$ ,  $\gamma$ □ Both  $\alpha$ ,  $\gamma$  discrete spectrum because  $E_{\alpha, \gamma} = E_i - E_f$ 

James Chadwick's (U. Manchester) studies of β decay (1914)

 $(A, Z) \rightarrow (A, Z + 1) + e$ -

Observation: energy spectrum of electrons continuous — violate energy conservation???

- Speculation: some unobserved radiation emitted in addition
- $\Box$  Ellis and Wooster (1927): study decay  $^{210}Bi \rightarrow ^{210}Po + e^{-1}$ 
  - measure the total energy deposited in a thick target
  - Find: average deposited energy = 0.337 MeV per decay
  - but nuclear mass difference = 1.05 MeV  $\Rightarrow$  missing energy!!!





### Beta spectrum

Number Beta spectrum beta rays continuous □ Also problem with angular momentum conservation: Parent and daughter nucleus had integer spin, but electron carried spin  $\frac{1}{2}$ 







Niels Bohr: "At the present stage of atomic theory we have no arguments for upholding the concept of energy balance in the case of β-ray disintegrations." Wolfgang Pauli: "Desperate remedy....." "I do not dare publish this idea...." "I admit my way out may look improbable...." "Weigh it and pass sentence...."

"You tell them. I'm off to a party"

## Pauli's solution to part of the puzzle

Suppose that beta decay were a 3 body process, with an additional invisible particle

new particle would have to be:

- \* Neutral
- \* Very light or massless
- \* have only rare interactions





Pauli: letter to a Physical Society meeting in Tübingen: "Dear Radioactive Ladies and Gentlemen..." postulated the invisible neutrino

### Pauli's neutrino letter



- Dear Radioactive Ladies and Gentlemen!
- I have hit upon a desperate remedy to save...the law of conservation of energy.
- …there could exist electrically neutral particles, which I will call neutrons, in the nuclei…
- The continuous beta spectrum would then make sense with the assumption that in beta decay, in addition to the electron, a neutron is emitted such that the sum of the energies of neutron and electron is constant.
- But so far I do not dare to publish anything about this idea, and trustfully turn first to you, dear radioactive ones, with the question of how likely it is to find experimental evidence for such a neutron...
- I admit that my remedy may seem almost improbable because one probably would have seen those neutrons, if they exist, for a long time. But nothing ventured, nothing gained...
- Thus, dear radioactive ones, scrutinize and judge.

#### http://www.symmetrymagazine.org/cms/?pid=1000450

### **Desperate Idea of Pauli**

4th December 1930

Dear Radioactive Ladies and Gentlemen,

As the bearer of these lines, to whom I graciously ask you to listen, will explain to you in more detail, how because of the "wrong" statistics of the N and Li<sup>6</sup> nuclei and the continuous beta spectrum, I have hit upon a desperate remedy to save the "exchange theorem" of statistics and the law of conservation of energy. Namely, the possibility that there could exist in the nuclei electrically neutral particles, that I wish to call neutrons, which have spin 1/2 and obey the exclusion principle and which further differ from light quanta in that they do not travel with the velocity of light. The mass of the neutrons should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton masses. The continuous beta spectrum would then become understandable by the assumption that in beta decay a neutron is emitted in addition to the electron such that the sum of the energies of the neutron and the electron is constant...

### Pauli's "desperate" attempt to save appearances

- □ Hypothesis of Pauli (1930):
  - Tried to explain puzzle of decay  ${}^{14}Ca \rightarrow {}^{14}N + e^{-1}$ 
    - o Missing energy and wrong spin of <sup>14</sup>N
  - an unobserved neutral, spin-1/2 "neutron" accounts for the apparent anomaly -- a new particle with mass < 1% that of the proton (later called neutrino by Fermi)
  - Initial thought:
    - o neutrino Is a stable constituent of the nucleus
    - o Suggested by the spin puzzle presented by <sup>14</sup>N, with Z=7
      - A system of 14 protons and 7 electrons should have half-integer spin,
      - addition of a spin-half neutrino constituent would resolve this problem
  - "I have done a terrible thing: I have postulated a particle that cannot be detected"

Chadwick's discovery of neutron (1932)

 Fermi proposes "neutrino" as name for the particle, made in decay, not part of nucleus before
# Weak interaction

1932:Chadwick's discovery of the "neutron"
 1933 Solvay conference:

 Pauli finally presented his theory of the "neutrino."
 Fermi suggested the name "neutrino" to distinguish it from Chadwick's heavy neutral nucleon

 1934: Fermi "effective theory" of β decay

 incorporates both the neutron and the neutrino

$$n_{bound} \rightarrow p_{bound} + e^- + \overline{v}_e$$

 Proposed that the neutrino was produced in the decay, accompanying the outgoing electron

# 1934: Theory of beta decay





E. Fermi (Nobel 1938)

**Nature** did not publish his article: "contained speculations too remote from reality to be of interest to the reader..."

Bethe-Peierls (1934): compute the neutrino cross section using this theory

 $\sigma \simeq 10^{-44} cm^2$ ,  $E(\bar{\nu}) = 2$  MeV

"there is not practically possible way of detecting a neutrino"

# How to detect them ?

$$\lambda \approx \frac{1}{n\sigma}$$
$$\lambda_{water} \approx 1.5 \times 10^{21} cm \approx 1600 \ ly$$
$$\lambda_{interstellar} \approx 10^{44} cm \approx 10^{26} \ ly$$

" I have done a terrible thing. I have postulated a particle that cannot be detected"

W. Pauli

#### Bruno Pontecorvo (1946):

maybe it's possible with neutrinos from reactors In a 1000kg detector, a  $10^{11} \text{ v/s/cm}^2 \Rightarrow$  a few events per day

# Neutrinos from reactors

Then the (by-then) recently invented nuclear reactors could be this source...



### Reactors: ~ 10<sup>20</sup>/second!

### (10<sup>13</sup>/s@100 meters)



# **Detection of the Neutrino**

### 1950 – Reines and Cowan set out to detect $\nu$





# Discovery, Reines & Cowan 1956

- Conducted a series of experiments
- Stage 1: Hanford site, Washington
  - Too much background from cosmic rays
- Stage 2: Savannah River, South Carolina
  - Better shielding
  - 11 m from reactor
  - 12 m underground
- 200 liters of water with 40 kg CdCl<sub>2</sub>
- Sandwiched between scintillator layers

#### Results:

- ~3 neutrino events per hour detected
- Used on-off switch on reactor
- Neutrinos disappeared when reactor was off

Cowan died in 1974, but Reines awarded Nobel Prize in 1995









I. Explode bomb
II. At same time let
detector fall in vacuum
tank
III. Detect neutrinos
IV. Collect Nobel prize

### OK – but repeatability is a bit of a problem

# Use reactor as neutrino source,

# **Project Poltergeist**

- First Hanford, later Savannah river
- Use water tank with liquid scintillator and CdCl<sub>2</sub>
- Reaction "inverse beta decay" (proton of hydrogen in water becomes neutron when hit by a neutrino, positron emitted)
- Positron annihilates with an electron  $\Rightarrow 2\gamma$
- Neutron absorbed by Cadmium-108  $\rightarrow$  excited state of Cadmium-109  $\Rightarrow$ emits photon ( $\gamma$ )
- γ detected by scintillation counter



Clyde Cowan

**Fred Reines** 

# Detecting the neutrino

Inverse beta decay, followed by e<sup>+</sup> e<sup>-</sup> annihilation:

$$v_e + p \rightarrow e^+ + n$$
  
 $e^+ + e^- \rightarrow 2\gamma$ 

$$n + {}^{108}Cd \rightarrow {}^{109m}Cd$$
$$\rightarrow {}^{109}Cd + \gamma$$

Experimental needs:

- Strong neutrino source  $\rightarrow$  reactor
- Proton target  $\rightarrow$  H in water
- Positron and neutron detector
  - Liquid scintillator to detect gammas
  - CdCl<sub>2</sub> target to capture neutrons
  - Delayed (5 $\mu$ s) coincidence of  $\gamma$  from Cd with  $\gamma$  from annihilation



# More than one neutrino!

## Lederman, Schwartz, Steinberger (1962)

- Experiment at BNL (Brookhaven Nat. Lab.)
- Use neutrinos from pion decay
- Show that they are different from the neutrinos emitted in beta decay
- Shielding: 2000 tons of steel from scrapped warships (armor)
- Nobel Prize 1988







The known lepton families after the neutrino experiment; the electron (e) and the electron neutrino (Ve), the muon ( $\mu$ ) and the muon neutrino (V $\mu$ ).

https://www.bnl.gov/bnlweb/history/nobel/1988.php

muon (µ)

# **Neutrino Flavor**



Based on a drawing in Scientific American, March 1963.

Modern versions of Lederman, Schwartz, Steinberger experiment are accelerator neutrino experiments: Minos, Opera, T2K, NoVA,...







http://www.nobelprize.org/nobel\_prizes/physics/laureates/1995/perl-lecture.html

# $3^{rd}$ neutrino -- $v_{\tau}$

- DONUT (direct observation of  $v_{\tau}$ ) at Fermilab (1997)
- Produce tau-neutrinos from decay of charmed particles
- Observation of events of

type  $\nu_{\tau} + p \rightarrow \tau^+ + X$ 

Observe decay products of τ in detector



https://arxiv.org/abs/hep-ex/0012035

# The Number of light neutrinos

- Discovery of Z<sup>o</sup> (1983 by UA1, UA2 at CERN)
  - From "width" of Z decay, get upper limit on number of "light neutrinos: N<sub>v</sub> < 3.8 (1987)
  - ("light" means mass of  $v < m_Z/2 \sim 45 \text{ GeV}$
- Studies of Z at LEP (2003):
  - measurement of cross section vs beam energy allows determination of number of light neutrinos (Z° can decay to a neutrino and antineutrino)



# Three neutrinos ...



 $\sigma$  measures rate at which e+e- collisions occur

#### Number of different "light" neutrinos = $2.984 \pm 0.008$



# Weak Interactions and the Neutrino

Neutrinos have weak interaction:

- No electric charge
- No color charge
- □ Weakness of weak interactions:
  - Mediated by W<sup>±</sup>, Z<sup>o</sup>
  - W and Z massive m<sub>w</sub> = 80 GeV m<sub>z</sub> = 91 GeV
  - for E <<  $m_w$  ,  $m_z$ , Coupling ~  $1/m_W^2$

Uncertainty relation



### Beta decay of neutron:



- I can borrow an elephant, provided I give it back on time (soon)!
- Interactions can only occur over very small distances
- Result: small cross section, or "weak" strength of interaction

# **Neutrino Properties**

#### Lepton number:

- Total number of leptons
   conserved
- Leptons minus antileptons
- Example: Electrons always produced with antineutrinos

Lepton flavor number (e,  $\mu,\,\tau)$  :

- Total number of leptons in each generation conserved
- Only one flavor allowed at a vertex

Spin: Neutrinos are fermions: spin ½



#### Neutrino-electron scattering

# Helicity and Mass

- Helicity: projection of spin along momentum axis
- For spin 1/2 particles, two states
- +<sup>1</sup>/<sub>2</sub>, -<sup>1</sup>/<sub>2</sub>
- Right or Left handed

#### **Observation:**

- All leptons in weak interactions are left handed.
- All anti leptons in weak interactions are right handed
- But helicity is not a Lorentz invariant:
- I can transform to a frame where the particle is moving in the opposite direction

#### One solution: Massless neutrinos

- Move at c in all frames
- Helicity becomes a good quantum number
- Chirality (handedness) is intrinsic property
- Same as helicity for massless particles





# Neutrino in the (original) Standard Model

- weak interaction mediated by W and Z bosons
- Couple to left handed fermions, right handed antifermions
- Neutrinos have exactly zero mass
- One neutrino flavor per lepton
- Higgs, which is responsible for mass, does not interact with neutrinos
- Neutrinos and antineutrinos are distinct (different chirality)

#### Particles of the Standard Model



# **Sources of Neutrinos**

#### Nuclear reactions

- Fusion in the sun (and other stars)
- SuperNovae
- Big bang nucleosynthesis
- Fission in reactors

#### High energy collisions

- Particle colliders
- Cosmic ray showers





# Neutrinos from the Sun





Solar radiation: 98 % light 2 % neutrinos At Earth 66 billion neutrinos/cm<sup>2</sup> sec

Hans Bethe (1906–2005, Nobel prize 1967) Thermonuclear reaction chains (1938)



# A, N, Z

A = Z + N

6

Atomic

Atomic Mass

Neutron

## □ for natural nuclei:

- Z range 1 (hydrogen) to 92 (Uranium)
- A range from 1 ((hydrogen) to 238 (Uranium)
- $\square$  N = neutron number = A-Z
- $\square$  N Z = "neutron excess";
  - increases with Z
- nomenclature:
  - $^{A}X_{N}$  or  $^{A}X_{N}$  or  $^{A}X_{N}$  or  $^{A}X$  or X-A

http://www.nndc.bnl.gov/chart/ http://amods.kaeri.re.kr/ https://www-nds.iaea.org/relnsd/vcharthtml/VChartHTML.html http://wwwndc.jaea.go.jp/CN10/ http://ie.lbl.gov/toi/perchart.htm



# binding energy vs A

#### □ small nuclei (A<10):

- All nucleons are within range of strong force exerted by all other nucleons;
- add another nucleon ⇒ enhance overall cohesive force ⇒ E<sub>B</sub>/A rises sharply with increase in A



#### heavy nuclei (A>60)

#### medium size nuclei (10 < A < 60)</p>

- nucleons on one side are at edge of nucl. force range from nucleons on other side  $\Rightarrow$  each add'l nucleon gives diminishing return in terms of binding energy  $\Rightarrow$  slow rise of E<sub>B</sub>/A
- adding more nucleons does not increase overall cohesion due to nuclear attraction
- Repulsive electrostatic forces (infinite range!) begin to have stronger effect
- N-Z must be bigger for heavy nuclei (neutrons provide attraction without electrostatic repulsion
- heaviest stable nucleus: 209Bi all nuclei heavier than 209Bi are unstable (radioactive)

# Nuclear energy

- very heavy nuclei:
  - energy released if break up into two medium sized nuclei
  - "fission"
- light nuclei:
  - energy released if two light nuclei combine -- "fuse" into a heavier nucleus – "fusion"



# **Nuclear Energy**

Nuclear reactions release energy when the total mass of the products is less than the sum of the masses of the initial nuclei. The "lost mass" appears as kinetic energy of the products ( $E = mc^2$ ). In fission, a massive nucleus splits into two major fragments that usually eject one or more neutrons. In fusion, low mass nuclei combine to form a more massive nucleus plus one or more ejected particles—neutrons, protons, photons, or alpha particles.





In the early stages of stellar evolution of our sun and other stars, hydrogen fuses to form helium, releasing energy in the form of photons (light) and neutrinos. During the later stages of stellar evolution, more massive nuclei up to and beyond uranium are synthesized by fusion. By measuring the number of neutrinos that come from the Sun, scientists recently have demonstrated that neutrinos must have a mass greater than zero.

2016 Contemporary Physics Education Project CPEPphysics.org

#### Fusion

[CBCUIDINS] power the sum and other stars. In fusion reactions, low-mass nuclei combine, or fue, to form more massive nuclei. The fusion process converts mass (m) into kinetic energy (E), as described by Enstein's formule, E = mc<sup>2</sup>. In the sum, a sequence of fusion reactions named the pp chain begins with protons, the nuclei of ordinary hydrogen, and ends with alpha pantides, the nuclei of halium atoms. The pp chain provides most of the sum's neargy, and it will continue to do so the failmand system.

# **FUSION** Physics of a Fundamental Energy Source

#### To make

10<sup>8</sup>

, 10, 10,

 $10^{4}$ 

 $10^{2}$ 

10<sup>3</sup>

Tempe

Nobul

Interstellar space

109

Aurora

**fUSION** happen on the certh, atoms must be heated to very high temperatures, typically above 10 million K. In this high-temperature state, the atoms are ionized, forming a plasma. For net energy gain, the plasma must be hid together (confined) long enough that many historin reactions occur: If huice power plants become practical, they would provide a virtually instruutible energy supply because of the aboutdonce of hell inter deviatmini became in human that been made.

PLASMAS - THE 4th STATE OF MATTER

CHARACTERISTICS OF TYPICAL PLASMAS Plasmas consist of freely moving charged porticles, i.e., electrons and ions. Formed at high tempera tures when electrons are stripped from neutral atoms, plasmas are common in nature. For instance

stars are predominantly plasma. Plasmas are a "Fourth State of Matter" because of their unique physi cal properties, distinct from solids, liquids and gases. Plasma densities and temperatures vary widely.

nertia

Solar core

1033

#### ENERGY SOURCES & CONVERSIONS AN OVERVIEW OF ENERGY CONVERSION PROCESSES

Energy can take on many forms, and various processes convert one form into another. While

and the By analysianana me same, man contension processes reasons even and By						
Sources	Conversion	Useful Energy				
Chemical,	Useful E <sub>out</sub> = η E <sub>in</sub>	Mechanical				
Gravitational, Nuclear, Solar, etc.	η = thermodynamic efficiency; 10-40%	Electrical Thermal				
	Waste Materials	Waste Energy				

**Physical Parameters of Energy-Releasing Reactions** 

Reaction Type:	Chemical	Fission	Fusion
Sample Reaction	$C + O_2$ $\Rightarrow CO_2$	<sup>1</sup> n + 235U ⇒ <sup>143</sup> Ba + <sup>91</sup> Kr + 2 <sup>1</sup> n	D ( <sup>2</sup> H) + T ( <sup>3</sup> H) ⇒ <sup>4</sup> He + <sup>1</sup> n
Typical Inputs (to Power Plant)	Coal and Air	UO <sub>2</sub> (3% <sup>235</sup> U + 97% <sup>238</sup> U)	Deuterium and Lithium
Typical Temp. (K)	1000	1000	100,000,000
Energy Released per kg Fuel (J/kg)	3.3 x 10 <sup>7</sup>	2.1 x 10 <sup>12</sup>	3.4 × 10 <sup>14</sup>







#### Inertia Star Formation Plas **Driven** Fusion <------ Size: 10<sup>19</sup> m-----> Plasma Duration: 10<sup>15</sup> - 10<sup>18</sup> s c----- Size:10<sup>-1</sup> m ------Plasma Duration: 10<sup>-9</sup> to 10<sup>-7</sup> s -- Size: 10 m -Plasma Duration: 10<sup>-2</sup> to 10<sup>6</sup> s Compression Electromagnetic Waves Compression Fusion Product Energy (Implosion driven by laser or ion beams, or by x rays from laser or ion beams) Ohmic Heating (electricity) Neutral Beam Injection (beams of atomic hydrogen) Fusion Product Energy





#### CHIEVING FUSION CONDITIONS

Flame

10<sup>15</sup>

1021

Number Density (Charged Particles / m<sup>3</sup>)

1027

Both ineltial and magnetic confinement fusion research have focused on understanding plasma confinement and fuenting. This research has led b increases in plasma temperature, 1, density, not and energy confinement time, t. Future power plants based on fusion reactors are expected to produce about 1 GW of power, with plasmas having nr =  $2 \times 10^{20}$  m<sup>-3</sup> s and T = 120 million K.



Fusion results are currently limited by the experimental facilities available workdrwide. The construction of an international burning plasma experiment - ITER (for magnetic fusion), the completed advanced laser facilities, as NFI for instrict fusion) and promising alternate projects will mobile further progress.

#### Copyright © 2016 Contemporary Physics Education Project (CPEP) - CPEPphysics.org

CPEP is a non-profit organization of teachers, physiciste, and educators, with substantial student involvement. Corporate and private donations as well as national laboratory funding have been and remain crucial to the success of this project.

This dart was created by CRP with support from the following argonizations: the AP journal Physics of Risman, the Division of Plasma Physics of the APS, General Atomics, Lawrence Livermore National Laboratory, Massachusetts Institute of Technology, Prinzetor Plasma Physics Laboratory, the University of Robabese Laboratory for Laboratory and the U.S. Department of a Tomgy, CRIss of Fusion Tengy Sciences, Images scaring of National Sciences (1400 Sciences) and Sciences, and a the againstance Instal down. CRP barts are sociable from Wards Sciences (1400 Sciences) (1400 Science) (1400 Science).

#### http://www.cpepphysics.org/fusion\_chart.html#

Fusion requires high tempera-

ture plasmas confined long

density to

Heating

enough at high

release appre-

ciable energy.

Typical Scales:

# ENERGY SOURCES & CONVERSIONS

#### AN OVERVIEW OF ENERGY CONVERSION PROCESSES

Energy can take on many forms, and various processes convert one form into another. While total energy always remains the same, most conversion processes reduce useful energy.



CPEP contemporary education project

http://www.cpepphys ics.org/fusion\_chart. html#

Reaction Type:	Chemical	Fission	Fusion
Sample Reaction	C + O <sub>2</sub>	<sup>1</sup> n + <sup>235</sup> U	D ( <sup>2</sup> H) + T ( <sup>3</sup> H)
	$\Rightarrow CO_2$	⇒ <sup>143</sup> Ba + <sup>91</sup> Kr + 2 <sup>1</sup> n	$\Rightarrow$ <sup>4</sup> He + <sup>1</sup> n
Typical Inputs (to Power Plant)	Coal and Air	UO <sub>2</sub> (3% <sup>235</sup> U + 97% <sup>238</sup> U)	Deuterium and Lithium
Typical Temp. (K)	1000	1000	100,000,000
Energy Released per kg Fuel (J/kg)	3.3 x 10 <sup>7</sup>	2.1 x 10 <sup>12</sup>	3.4 x 10 <sup>14</sup>

Neutrino production from Nuclear Reactions in the Sun

□ Fusion of H to He is the basic energy source of the Sun:  $4p \rightarrow {}^{4}He + 2e^{+} + 2v_{e} + 26.7 MeV$ 



# Neutrino puzzles

Solar Neutrinos "Problem" • 3 experiments showed a deficit of solar neutrinos. o Going back ~30 years About 1/3 to ½ of the expected number were observed results could not be reconciled with the standard solar model Atmospheric Neutrino "Anomaly" • IMB and Kamiokande saw less than expected ratio of  $v_{\mu}/v_{e}$ One Proposed Explanation was: Neutrino Oscillations • Solar neutrinos might be  $v_e \rightarrow v_u$ • Atmospheric neutrinos might be  $v_{\mu} \rightarrow v_{\tau}$ 

Confirmed by Kamiokande and Sudbury experiments



# Solar Neutrino puzzle

## □ Missing neutrinos from the Sun

- Now explained as due to "neutrino oscillations"
- three neutrino types: electron neutrino  $v_e$ , muon neutrino  $v_u$ , tau neutrino  $v_\tau$
- can change their identity "oscillate" if masses are not all the same
- Oscillation period depends on mass<sup>2</sup> difference
- only possible if not all neutrinos are massless

http://www.chemistry.bnl.gov/sciandtech/sn/default.htm http://www.talkorigins.org/faqs/faq-solar.html http://www.sns.ias.edu/~jnb/ http://www.sns.ias.edu/~jnb/Papers/Popular/snhistory.html http://www.sns.ias.edu/~jnb/SNviewgraphs/snviewgraphs.html https://www.sns.ias.edu/~jnb/Papers/Popular/Wiley/paper.pdf http://math.ucr.edu/home/baez/physics/ParticleAndNuclear/solar\_neutrino.html http://www.nobelprize.org/nobel\_prizes/themes/physics/bahcall/ http://www.nobelprize.org/nobel\_prizes/physics/laureates/2002/davis-lecture.html http://www.nobelprize.org/nobel\_prizes/physics/laureates/2002/koshiba-lecture.html pp chain

# CNO cycle

 $^{1}\mathbf{H}$ 

13C

¹H

Gamma Ray

Neutrino

γ

ν



Net result is:  $4p \rightarrow {}^{4}He + 2e^{+} + 2v_{e} + 26.7 MeV$ 

# p p process in the Sun

http://www.cpepphysics.org/fusion\_chart.html#



□ Photons take 3.10<sup>4</sup> years to get out (diffusion time of single photon)

- □ Energy takes ≈3.10<sup>7</sup> years to get out (energy transport slower due to heat capacity of star)\*)
- Neutrinos come out at ~ the speed of light!

\*) (M. Stix, Sol Phys (2003) 212:3) (http://link.springer.com/article/10.1023%2FA%3A1022952621810)

## Net reaction is $4p \rightarrow 4He + 2e + + 2v_e$ $\Box$ Releases 25.7 MeV/c<sup>2</sup>, or 4.12 $\cdot$ 10<sup>-12</sup> J, per Helium nucleus produced (or half that per neutrino) solar constant = energy received per unit time per unit area: 1370 Watts/m<sup>2</sup> at Earth's orbit $\Box \Rightarrow$ neutrino flux should be 1370/(2.06x10<sup>-12</sup>)/m<sup>2</sup>/sec = 6.65 x 10<sup>10</sup> /cm<sup>2</sup>/sec Good News: this is accurate to better than 10% But: this is flux for all neutrino energies, detectors need minimum neutrino energy ("threshold") Neutrinos detected by some reaction/conversion that they cause (e.g. "inverse beta decay") $\Box$ Unit 1 SNU = "solar neutrino unit" = 10<sup>-36</sup> events per target atom (in the detector)

# Hydrogen burning: Proton-Proton Chains


### **Solar Neutrinos**

#### □ Solar neutrinos:

- Electron neutrinos produced in fusion chain
- 99% of solar neutrinos from pp fusion (First observed in 2014 by Borexino)
- Small fraction from <sup>7</sup>Be and <sup>8</sup>B extend to high E ⇒ easier to detect

#### John Bahcall:

- prediction of solar neutrino flux in 1964
- Over next 50 years:
  - o Continued to refine his solar model -- incredibly precise





#### Neutrinos from the Sun: How many? "Standard Solar Model"

- Main player: John Bahcall (1934-2005)
  - o With help from Marc Pinsonneault, Sarbani Basu, Aldo Serenelli
- Combines all the knowledge that we have about atoms, molecules, plasma, nuclear reactions, thermodynamics, magnetohydrodynamics,...

#### Predicts

- Spectrum of e.m. radiation from the Sun, proton energy spectrum, speed of sound in the sun, .....neutrino energy spectrum
- o Compare predictions with observations, refine model
- All predictions agree with observations except neutrinos – way too few neutrinos observed – "Solar neutrino puzzle"



https://www.wikiwand.com/en/John\_N. Bahcall http://www.sns.ias.edu/~jnb/

#### Predicted neutrino spectrum



#### How to detect solar neutrinos?

#### □ detection of neutrinos:

- extreme challenge for the experiments of the mid-twentieth century –
- Pauli's apology: "I have done a terrible thing. I have postulated a particle that cannot be detected."

#### Bruno Pontecorvo (1946):

Suggests a radiochemical experiment based on v<sub>e</sub> + <sup>37</sup>Cl → <sup>37</sup>Ar + e<sup>-</sup> (mentioned solar neutrino detection using this method).
 before the Reines-Cowan experiment.
 ❑ Ray Davis used this method

About Ar-37: <a href="http://www.periodictable.com/lsotopes/018.37/index.p.full.html">http://www.periodictable.com/lsotopes/018.37/index.p.full.html</a>

# 1<sup>st</sup> Particle Astrophysics Experiment

#### Homestake Experiment

Ray Davis went to a gold mine in South Dakota

#### His Idea:

- Build a large detector to see rare interactions
- Bury it deep underground to avoid backgrounds
- Use sophisticated techniques (even by today's standards!) to detect individual electron neutrino captures

A true pioneer for particle astrophysics We still use techniques he developed LUX experiment uses the "Davis Cavern", even his original water tank as a shield





# Ray Davis' neutrino detection

#### □ Look for reaction $v_e \rightarrow {}^{37}Cl \rightarrow {}^{37}Ar + e^{-}$

#### Detector:

- 380 m<sup>3</sup> (105 gallon) tank of dry cleaning fluid (Perchloroethylene (rich in Cl))
- Reaction threshold, 0.814 MeV
- Integrate neutrino flux above this energy

#### Detection of Ar:

- Bubble He through detector every few weeks
- Flush Ar out
- Collect Ar in a container
- Take container out of mine
- Detect decays of <sup>37</sup>Ar
- Counting a handful of atoms from tons of material!





#### Ray Davis' Experiment



#### Ray Davis' experiment



Figure 2.3. Schematic drawing of the argon recovery system. The pump-eductor system forces helium gas through the tetrachloroethylene liquid and provides the helium gas flow through the argon collection system.

# Argon counting in Ray Davis' experiment



Every two months, Davis' graduate students bring out the Argon atoms and count them

#### **Davis Experiment: Results**





Maybe the experiment is wrong...

### **Result: Problem**

#### Measurements

over a quarter century, starting in 1968
using lots of graduates students and mules
Find: one third of the expected rate
Reaction:

Experiment is wrong
Theory is wrong

Many other experiments confirm findings

# **Results: The Solar Neutrino Problem**

In 1968, Davis reported results **Solar neutrino flux factor 3 too low** This became the <u>Solar Neutrino Problem</u>

Why was the flux measurement too low?

- Did Davis undercount the <sup>37</sup>Ar atoms?
- Was Bahcall's solar model wrong?
- Do neutrino's behave differently than expected?
- Davis continued refining his measurement
  - Consistent results
  - Flux always too low
- Other experiments also measured solar neutrino deficit
  - Kamiokande, GALLEX, Sage
  - Between 1/3 and 2/3 expectation



Crazy hypothesis: Neutrinos have mass!



Solar neutrinos (Kamiokande-III) Dec. 28, 1990 – Feb. 6, 1995 (1036 days )



Y.Fukuda et al., Phys. Rev. Lett. 77 (1996) 1683





### **Theoretical ideas**

□ 1957 – Bruno Pontecorvo:

- Suggests possibility of neutrino anti-neutrino oscillations
- □ 1962 Maki, Nakagawa, and Sakata:
  - (in the context of what looks today like a very odd model of nucleons) proposed that the weak neutrinos known at the time were superpositions of "true" neutrinos with definite masses, and that this could lead to transitions between the different weak neutrino states.

#### □ 1967 – Pontecorvo:

- considered the effects of all different types of oscillations in light of what was then known,
- pointed out (before any results from the Davis experiment were known) that the rate in that experiment could be expected to be reduced by a factor of two!
- 1972 Pontecorvo is informed by John Bahcall that Davis does indeed see a reduced rate, and responds with a letter....

	ОБЪЕДИНЕННЫЙ ИНСТИТУТ ЯДЕРНЫХ ИССЛЕДОВАНИЙ JOINT INSTITUTE FOR NUCLEAR RESEARCH Mockey, Главный дочтамт и/и 78. Hered Point Officer, P.O. Ber 79, Mercery, USSR	
	No <u>994/3</u> 1	April 6, 18 72
Dear Prof.	Bahcall,	

Thank you very much for your letter and the abstract of the new Davis investigation the numerical results of which I did not know. It starts to be really interesting! It would be nice if all this will end with something unexpected from the point of view of particle physics. Unfortunately, it will not be easy to demonstrate this, even if nature works that

way.

looking forward to see you there.

Yours sincerely,

3 Doubecon

B.Pontecorvo

BMP/nn

# 2v Vacuum Oscillations

For two neutrino flavors in vacuum: oscillations lead to the appearance of a new neutrino flavor:

$$P(v_e \rightarrow v_{\mu}) = \sin^2 2\theta \sin^2 (1.27 \frac{\Delta m^2 L}{E})$$

$$\Delta m^2 = m_2^2 - m_1^2$$
 in eV<sup>2</sup>, L in meters, E in MeV

With the corresponding disappearance of the original neutrino flavor

These oscillations can be significantly modified by the MSW effect when the neutrinos pass through matter...

MSW =Mikheyev–Smirnov–Wolfenstein, see e.g. https://www.wikiwand.com/en/Mikheyev%E2%80%93Smirnov%E2%80%93Wolfenstein\_effect http://hepwww.rl.ac.uk/ricciardi/Lectures/MSW-1.pdf

# Mass vs Flavor Eigenstates

Flavor eigenstates ≠ Mass eigenstates Flavor states are superposition of mass states

$$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \cdot \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$$

Mixing matrix U parametrized by:

- 3 angles  $(\theta_{12}, \theta_{13}, \theta_{23})$  that describe mixing
- complex phases (not discussed here)

Flavor states are not eigenstates of the Hamiltonian

- They are not the states that propagate!
- Those would be the mass eigenstates
- We can create and detect flavor states:
  - Electron, muon, tau neutrinos
- Created as superposition of mass states
- The phases change with time
- Consequence: Neutrinos change flavor!



# Neutrino oscillations

Neutrinos are produced and detected via weak interactions as flavor states:

$$|\nu_{\alpha}
angle = \sum_{i=1}^{3} U_{\alpha i}^{*} |\nu_{i}
angle, \quad \alpha = e, \mu, \tau$$



A neutrino experiment is an interferometer in flavor space, because neutrinos are so weakly interacting that they can keep coherence over very long distances !



# Neutrino oscillations

#### □ Interference:

- Recall single slit diffraction
- Probability to measure light depends on phase
- Neutrino oscillations behave similarly
  - Difference in phase for different mass eigenstates
  - Not due to path difference
  - Due to difference in mass squared
  - Time dependence of mass state depends on mass
  - Probability for an electron neutrino to be detected as an electron neutrino depends on phase





### **Example: 2 Neutrinos**

Mass and flavor states connected by  $2 \times 2$  matrix Single parameter,  $\theta$  ("mixing angle")

Propagation:  $|\Psi(t)\rangle = e^{-iHt} |\Psi(0)\rangle$ 

Using this, and the eigenvalues of H, the electron neutrino disappearance probability can be derived:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$P_{\alpha \to \beta} = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

Two important features: Mixing angle  $\theta$  comes from mixing matrix U Phase depends on  $\Delta m^2$ , difference in mass squared

The (real) 3 neutrino case is similar, just more terms:

$$P_{\alpha \to \beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\frac{\Delta m_{ij}^2 L}{4E}\right) + 2 \sum_{i>j} \operatorname{Im}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\frac{\Delta m_{ij}^2 L}{2E}\right),$$

## Oscillation, superposition



3 mass eigenstates evolve in time as periodic function, with mass dependent frequency ( mass)

### **Measuring Neutrino Oscillations**

Must demonstrate that neutrinos change flavor (not just a deficit) Two methods discussed here:

Measure neutrinos generated at different distance Probe P as function of L Super Kamiokande (S-K)



Measure electron neutrino flux and total neutrino flux from the sun Sudbury Neutrino Observatory (SNO)







#### Super Kamiokande (Super K)

- Neutrino observatory in the Kamioka mine in Japan
- upgrade of successful Kamiokande experiment
- Muons produced by cosmic rays in atmosphere:
  - Since neutrinos pass right through the earth, a directional detector can measure muon neutrino flux vs zenith
  - Difference in path length
  - = difference in phase
  - e difference in oscillation probability







The Super-Kamiokande Detector

### **Super-K Detection Concept**

- Muon neutrinos interact with nucleons via charged current to produce ultra relativistic muons
- The muons travel faster than the speed of light in the detector (still slower than c)
- $\Box \Rightarrow cone of Cherenkov light$
- □ Same principle as a sonic boom
- Light is detected by photo sensors







# Super K detector



# Super Kamiokande Detector

- 40 m water tank
- □ Filled with 50 ktons pure water
- Largest water Cherenkov detector in the world!
- >11,000 photomultipliers (PMTs) to detect light
- □ PMTs + electrical connections waterproof





#### **Super-Kamiokande seasonal variation**



# Sudbury Neutrino Observatory (SNO)

- Look directly for solar neutrinos
- Measure electron neutrino flux
- Measure total neutrino flux



Only v<sub>e</sub>
 ~ 30 events/day







All v flavors equally ~30 events/day

$$v_x + e^- \rightarrow v_x + e^-$$
 (ES)

#### Ratio proves oscillation!



 All v flavors, but favors v<sub>e</sub> by factor of 6
 ~3 events/day

$$v_x + d \rightarrow v_x + p + n$$
 (NC)  
 $n + {}^{35}Cl \rightarrow {}^{36}Cl^* \rightarrow {}^{36}Cl + 4\gamma$ 

# **SNO Detector**

#### □ SNOLab:

- underground laboratory in Sudbury, Ontario (Canada) inside an active nickel mine
- □ 12 m acrylic sphere
  - Filled with 1,000 tons of heavy water, D<sub>2</sub>O
  - Doped with NaCl (in upgrade) for neutron capture on <sup>35</sup>Cl
- 10,000 PMTs mounted externally on an 18 m sphere
- Directional capability





# Solar neutrino spectra


## Neutrino oscillations observed

Results from Super Kamiokande and SNO experiments

- Not compatible with expectations if there are no neutrino oscillations
- Confirm solar  $v_e$  deficit
- Are in agreement with predictions assuming neutrino oscillations
- Give estimates for phases and mass differences

#### Standard Solar Model (2005) Comparison with experiments

Total Rates: Standard Model vs. Experiment Bahcall-Serenelli 2005 [BS05(0P)]



# SNO result

- Results in 2001 and 2002, without/with NaCl
- Definitive proof that solar neutrinos oscillate!
- Solved the solar neutrino problem
- Neutrinos must have mass
- $\Box \Delta m^2$  is incredibly small
- □ 10<sup>-5</sup>, 10<sup>-3</sup> eV<sup>2</sup>
- Absolute mass must also be small
- We thought it was zero for 65 years!





SNO's CC, NC and ES measurements from the  $D_2O$  phase. The x- and y-axes are the inferred fluxes of electron neutrinos and muon plus tau neutrinos.

Since the NC and ES measurements are sensitive to both  $v_e$  and  $v_{\mu}/v_{\tau}$ , the ES and NC bands have definite slopes. The CC measurement is sensitive to  $v_e$  only, so has an infinite slope. The widths of the bands represent the uncertainties of the measurements. The intersection of the three bands gives the best estimate of  $\Phi_{\mu\tau}$  and  $\Phi_e$ . The dashed ellipses around the best fit point give the 68%, 95%, and 99% confidence level contours for  $\Phi_{\mu\tau}$  and  $\Phi_e$ . The flux of neutrinos predicted by the SSM is indicated by  $\Phi_{SSM}$ .

# **Open questions**

Measure mass differences Establish "mass hierarchy" Measure absolute mass scale □ Majorana or Dirac Neutrinos? Is there neutrinoless double beta decay? many experiments being upgraded/constructed/planned □ Is there a sterile neutrino in addition to the 3 ordinary ones? (some hints...)

### Majorana versus Dirac?

In principle clear experimental signatures



In practice theses processes are extremely rare:

$$\operatorname{Rate}(+) = \operatorname{Rate}(-) \left(\frac{m_{\nu}}{E}\right)^2$$

### Neutrinoless double $\beta$ decay

#### Best hope: neutrinoless double-β decay



$$T_{2\beta 2\nu} = 10^{18} - 10^{21}$$
 years

If neutrinos are Majorana type  $\Rightarrow$  there must be neutrinoless process at some (small?) level

# Rewards..

#### 2002 Nobel Prize

- Ray Davis, ¼ prize "for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"
- ¼ prize also went to Masatoshi Koshiba for detection of supernova neutrinos (Kamiokande experiment, predecessor of Super K)
- there is plenty of evidence indicating that the SM is incomplete
- but this is the ONLY physics discovery so far leading to a modification of the standard model

https://www.nobelprize.org/nobel\_prizes/physics/laureates/2002/







## Reward 13 years later..



The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass"

https://www.nobelprize.org/nobel\_prizes/physics/laureates/2015/

# Neutrino image of the Sun

#### □ SuperK : Solar neutrino-gram



- Light from the solar core takes a million years to reach the surface
- Fusion processes generate electron neutrinos which take 2s to leave
- Solar neutrinos are a direct probe of the solar core
- Roughly 4.0 x 10<sup>10</sup> solar neutrinos (v<sub>e</sub>) per cm<sup>2</sup> per second on Earth

## Mass measurement Next generation of tritium beta decay experiment: Katrin (KArlsruhe TRItium Neutrino experiment)



Goal:  $m_{ve} < 0.2 \text{ eV}$ 

https://www.katrin.kit.edu/